



MCaaSTM

Maritime Compliance
as a Service

Export Controls and Sanctions Compliance as a Managed Service

We deliver sanctions compliance as a manned back office service to our customers, supporting their in-house compliance team.

We combine shipping knowledge with a focused specialisation in sanctions compliance and utilise shipping-related data and applications in addition to regulatory-specific tools.

With MCaaS, you have a dedicated team focused on helping you reduce the administrative burden related to export controls and sanctions compliance for commodities, counterparties and vessels.

MCaaS services include a range of combination screenings, data and tools to reduce your overall risk.



Trading parties

Legal entities, ultimate beneficial owners (UBOs), associated parties, suppliers, customers, crew, beneficiaries – over 400 lists.



Traded commodities

Point of origin, manufacturer, end-user certificates and documentation, trade restrictions, cargo description, HS codes, military, dangerous goods, and over 130 lists.



Vessel

Vessel name, flag, ownership chain, associated parties, historical movements, dark periods.



Trading route

Country-specific restrictions/prohibitions/sanctions, loading and discharge port, UN LOCODES



KYCC and associated relationships

Affiliation, partnerships, special purpose vehicles (SPV), passive holding companies, politically exposed persons (PEP)

How customers use our service

Container Lines & Logistics Companies: Booking files

Daily booking files are uploaded or sent to MCaaS. Data is validated, enhanced and screened, covering suppliers, beneficiaries, HS codes, commodities and routes.

Based on your Business Rules and reporting format, the screening results are uploaded daily within agreed SLA. We maintain audit trails and deliver weekly/monthly reports.

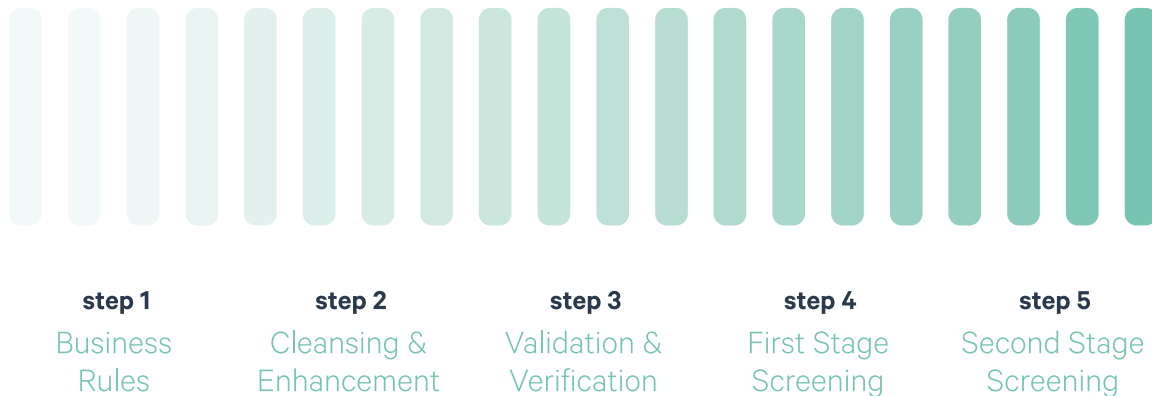
Tramp shipping Companies' Chartering: Check before fixing

Chartering or legal upload or send vessel name/IMO for immediate screening report before they fix a vessel. We check ownership, ownership chain, associated parties, and vessel's historic routes. After initial immediate report, we supply a full report within 24 hours for audit trail. We also deliver and maintain a whitelist of vessels for instant in-house look-up.

Other Maritime related Companies: Outsourced compliance

MCaaS functions as an extension to existing compliance teams. They can request ad hoc screening of single entities, or more thorough due diligence of suppliers, customers or other counterparties, globally or for high risk countries. Customised reports are delivered and audit trails maintained.

The MCaaS Difference isn't software, but our understanding of the maritime industry



Using multiple platforms, MCaaS delivers flexible, customised sanctions screening that strengthens and works alongside your existing compliance program. Our services help reduce time spent on clearing false positives and lower the risk of not capturing a true “hit”. Our unique process includes extracting hidden or unmatched data, validating data against relevant documentation and applying extra scrutiny on problematic data points.

The data on Counterparties, Vessel ownership, UBOs, HS Codes, cargoes and other relevant data is cleansed prior to screening. We flag spelling mistakes, translation errors, duplications and other misrepresentations of the true entity or commodity.

MCaaS also delivers combination screening of cargo, routing and counter parties to reduce the overall risk of moving a restricted commodity.

MCaaS delivers relevant details about a specific alert with direct link and extract of the specific section of the restricted list and maintains audit trails of all screenings and alerts. We can re-screen each data point every 24 hours, so that your counter party list is updated at all times.

With over 400 sanctions and restrictions lists of restricted parties, 130 cargo regulatory restrictions and trade control lists that are monitored regularly, we cover major regulatory regimes and can add customer-specific watch lists.

Our Services



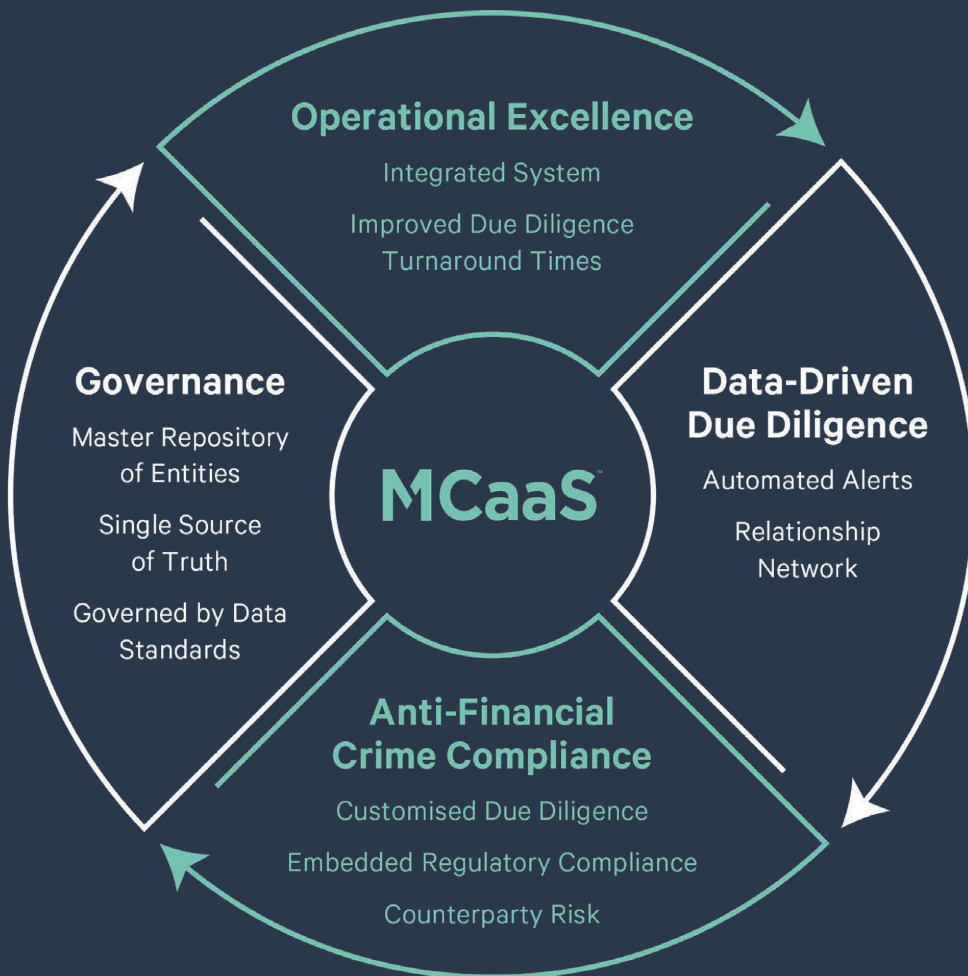
Restricted Party Screening



Cargo Screening



Vessel Screening

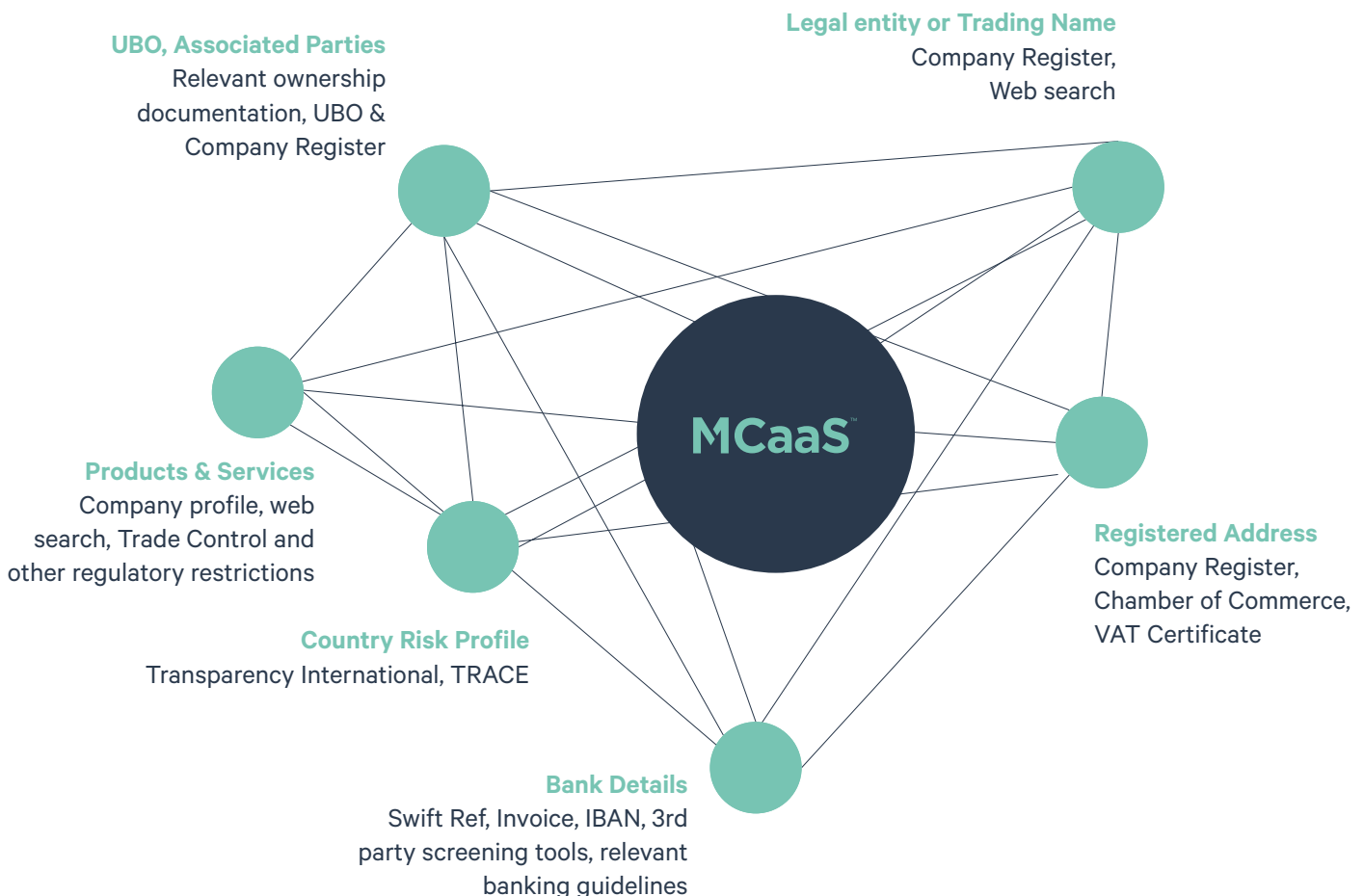


Restricted Party Screening

Trading partners, suppliers, customers, crew, employees, beneficiaries, agents and other associated parties

Our service is fully customisable. You can opt for an ad hoc service of a few counterparties per week, to thousands. From simple checks to more enhanced due diligence reports with a white listing maintenance program.

We screen against export denial, debarment, blocked persons, sanctions and other regulatory lists and cover over 400 lists, including OFAC, European Union, United Nations, country specific lists and our customers' internal watch lists.



Capturing TRUE ALERTS!

MCaaS uses multiple tools and sources to validate and combine individual data points.

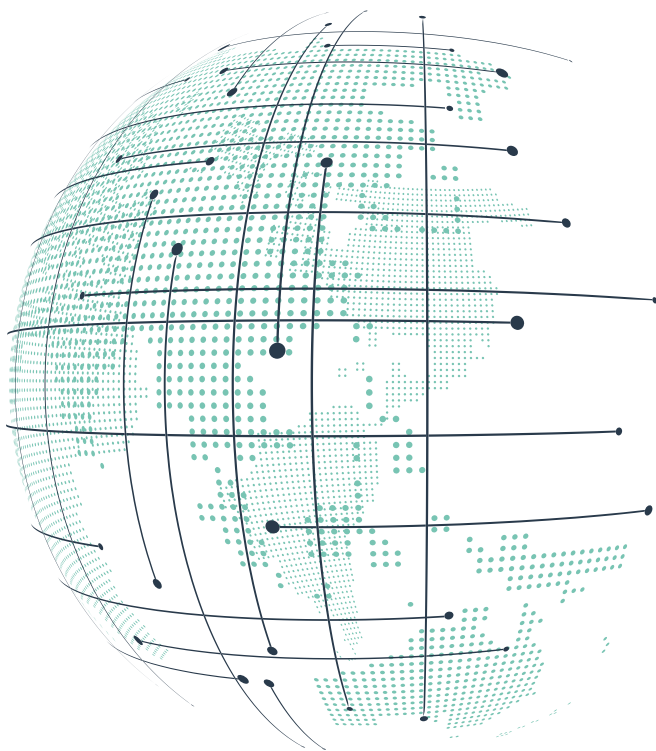
Cargo Screening

HS Codes, commodities, routing combinations

MCaaS has developed an extensive database of over 130 trade restrictions lists, including Dual Items, Military and US/UN/EU lists.

Through our unique processes, we extract unstructured commodity descriptions and data from booking files, flag missing or incorrect HS Codes, and match commodity descriptions with the proper HS Codes.

We also handle second-level screening by gathering related documentation to clear flagged items. You save time, reduce risks and can demonstrate 'best efforts' in case of regulatory scrutiny.



Primary Screening

Screen against 130+ Trade Restriction lists (dual goods, military, country specific) & regularly updated master data

Cargo Description

Historical data, Spelling, Hidden data, HS Code list, commodity details (usage, specification, composition and price)

Commodity Codes

Harmonised code, HTS, Taric, CAS numbers, Validation, Historical data, Hidden data

Ports - Routing Restrictions

Loading Port, Discharge Port, Port of Origin, UN/LOCODE

Secondary Screening

Verify alerts through documentation and research including against the trading party's business line

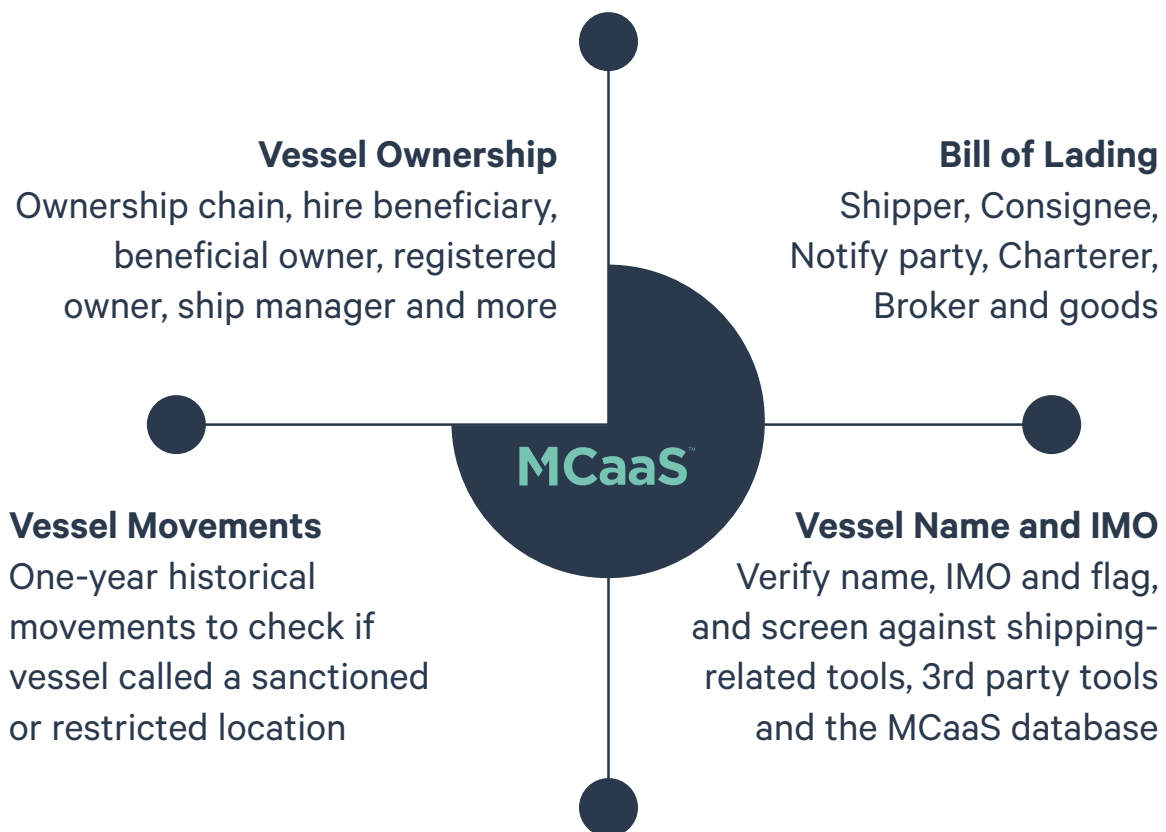
Capturing TRUE ALERTS!

MCaaS' unique commodity screening is based on screening of not only commodity description, but also HS Codes as well as routing, transit points and country of origin. Screening the data and combining these data points deliver an effective sanctions screening process.

Vessel Screening

Vessel name, IMO number, vessel historical routes, beneficiary ownership, historical ownership chain and associated parties

The risks and complexities of global maritime shipping require that due diligence be conducted on vessels. Dealing with a vessel that is owned, controlled or operated by a sanctioned party can result in potential fines and reputational damage. Our services include verification of flag, recent history of vessel movements, and current and historic ownership. We deliver quick turn-around on screening results, followed by the provision of an auditable report.



Contact us today

If you'd like an initial chat and/or a personalised demo, please get in touch:



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